



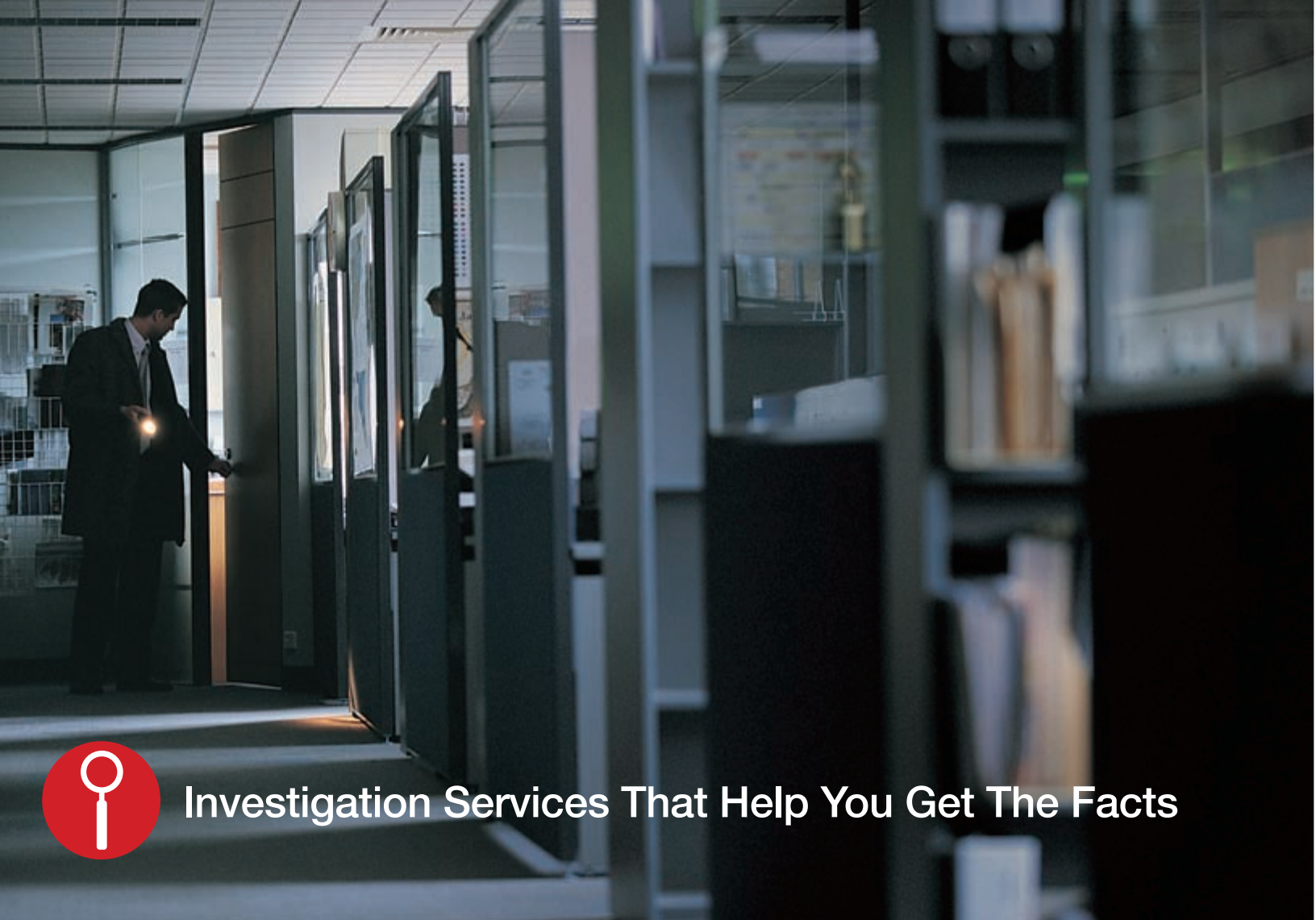
Investigation Services

C&I GROUP

The GardaWorld Consulting and Investigation Group draws on more than 35 years of corporate experience serving the investigation needs of corporate clients, major law firms, financial institutions, and Government agencies from around the world. Our experts include former Federal and local law enforcement investigators, prosecuting and defense attorneys, forensic accountants, certified fraud examiners, digital forensic experts, risk profilers, and auditors.

To assist management in its key decision-making process, the C&I Group can help organizations gather business intelligence to make more informed business decisions prior to business activities, such as mergers and acquisitions or joint ventures, as well as investigate incidents of unethical or illegal behavior, such as sexual harassment or fraud. Our professionals evaluate the source of client suspicions; qualify them through a thorough investigative process; undertake unbiased assessment of all known facts; identify, seize, preserve, and document evidence in a forensically and legally sound manner. Our professionals also have extensive experience testifying as expert witnesses; and they have successfully concluded countless multi-jurisdictional civil and criminal cases.





Investigation Services That Help You Get The Facts

Why Investigation Services?

Unethical or illegal behavior not only threatens the reputation of the organization and of the individuals involved, it costs employers billions of dollars annually. For most companies, these issues are unfamiliar and highly unsettling when they occur. Handled unwisely, companies can find that they are subject to media scandals, criminal penalties, severe financial loss, and lawsuits. For law firms, successful litigation depends on obtaining relevant information in a timely manner and uncovering the salient facts. With so much at stake, it's important to work with a firm you can trust to handle your investigative needs. Especially for those investigations involving criminal prosecution or that are large scale and multi-jurisdictional in scope. Such complex investigations can include civil and criminal subpoenas, search warrants, and may require expert testimony—all of which require an investigative team that understands criminal statutes and procedural rules and has the experience and reputation acceptable to the agencies that enforce them.

Why GardaWorld?

GardaWorld is one of the most trusted risk consulting and investigation companies in the world. With more than 50,000 professionals and operations across North America, Europe, Latin America, Africa, Asia, and the Middle East, we can support multi-national organizations and law firms with a broad range of investigation and professional services. GardaWorld's other unique advantages include:

- Discreet and low-profile approach to investigations
- Industry-wide reputation for integrity
- 35+ years of corporate experience in investigations
- Global strength and local expertise
- Certified fraud examiners, computer forensic experts, criminologists, court-certified experts, and professional profilers on staff
- Extensive experience in emerging markets and jurisdictions and courts around the world

Investigation Services

Financial Crime Investigations

- Embezzlement
- Money-laundering
- Secret commissions
- Theft
- Asset tracking and asset recovery

Fraud Investigations

- Employee fraud
- Computer and Internet fraud
- Bankruptcy fraud
- Mortgage fraud
- Securities fraud
- Healthcare fraud

Litigation Support

- Evidence acquisition, continuity, and preservation
- Evidence analysis
- Expert witness testimony
- Case assessment and litigation strategy planning
- Investigation of claims and defenses
- Witness and juror vetting

Workplace Investigations

- Intellectual Property (IP) theft
- Economic/product espionage
- Harassment
- Product tampering
- Workplace violence
- Product diversion
- Gray market and counterfeiting

Corporate Investigations/Business Intelligence

- Corporate structure
- Contract review
- Shareholder and stakeholder information
- Assets and liabilities
- Reputation and integrity queries
- Writs, liens, and bankruptcy
- Length of time in business

Legal Investigations

- Past and present lawsuits and judgments
- Tax liens
- Arbitration hearings
- Labor law issues

Background Investigations

- Criminal arrests or convictions
- Litigation history as a plaintiff or defendant
- Affiliation with undesirable individuals and/or organizations
- Personal and industry capabilities and reputation
- Consumer credit reports (with a signed release)
- Vendor background check

Corruption Investigations

- Bribery
- Bid rigging
- Kickbacks
- Public office corruption

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Experience Matters



DUE DILIGENCE INVESTIGATION

One of UK's largest financial institutions wanted to conduct due diligence into a French real estate consortium to which the client had extended credit. The investigation, conducted throughout France and Belgium, uncovered evidence of financial mismanagement and improprieties, which enabled the client to restructure the deal and protect its investment.

Large credit card processor was concerned about the financial reputation and solvency of new LATAM banking institutions joining their network, and about Foreign Corrupt Practices Act (FCPA) implications. Investigators reviewed each Latin bank's Anti-Money Laundering (AML) program, conducting due diligence on relevant parties when necessary. The credit card processor has pursued many of the banking relationships as a result of the information uncovered, while dissolving others for not meeting their standards of integrity.

FINANCIAL INVESTIGATION

Managed and conducted investigations into a USA-based mortgage scheme where fictitious bulk mortgages were resold to savings and loans operations and/or financial institutions. This investigation stemmed from a \$250 million claim and resulted in a claim settlement of a significantly lower amount and the conviction of the principals of the loss.

Conducted an investigation of a private company based in Switzerland, which resulted in evidence of one of the largest frauds in European corporate history. Successfully recovered assets and assisted our client to avoid damaging publicity. Designed and implemented robust anti-fraud policies and procedures.

FRAUD INVESTIGATION

City of New York selected GardaWorld to serve as an anti-corruption Project Integrity Monitor for one of the four contractors chosen to clear the 9/11 Ground Zero site. As part of its duties as a Project Integrity Monitor, investigators worked to prevent fraud, over-billing, violations of New York labor laws, including adherence to the Prevailing Wages Schedule, and other integrity issues, such as ensuring that removed debris was not diverted to unauthorized locations.

Conducted and coordinated investigations involving an international investment scheme using a legitimate tax shelter to defraud investors through promised unrealistic returns. Involved were over 70 investors with \$92 million in investment. The scheme was uncovered, and the conspirators were identified and successfully prosecuted. The investigation helped recover \$12 million, and the enterprise was shut down.

WORKPLACE INVESTIGATION

A Chief Technology Officer (CTO) and three other employees were suspected of forming a competing company and stealing intellectual property and data from work. The discreet investigation unearthed wrongdoing, without internal suspicion or scandal, and facilitated termination of employment, without harm to the employer. An injunction was successfully obtained prohibiting the dismissed employees from continuing with their plan of competing with the client.

Managed and conducted an investigation into the anonymous harassment of the spouse of a senior executive in a large multi-national organization. The investigation revealed that the harasser was an employee who had in the past harassed other spouses of senior executives to the point where two managers had left the company. Assisted the client in supporting the dismissal of the employee and establishing pre-employment screening, investigative protocols, and procedures to quickly address such issues in the future.



GardaWorld has one of the highest safety and satisfaction records in the world. Learn more about the Consulting and Investigation Global Risks Group's turnkey risk management solutions today.

All risk inquiries are directed to our senior risk consultants. Please contact us.

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